IJMF, Iranian Journal of Materials Forming, Vol. 3, No. 2, pp 39-51 Printed in The Islamic Republic of Iran, 2016 © Shiraz University

Workability Study in Near- Peritectic Sn-5%Sb Lead-Free Solder Alloy Processed By Severe Plastic Deformation

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Abstract: Severe Plastic Deformation (SPD) of Sn-5Sb solder alloy was carried out under different deformation conditions including the temperature range of 298, 330, 360, 400 K and die designs in the present research. An experimentally validated finite element method (FEM) is applied to propose a simulation strategy for predicting the workability in equal channel angular pressing (ECAP) of the alloy. Different parameters such as die angles (angle between the channels and the outer corner angle), pressing temperature and the die outlet channel geometry were studied using Finite Element Method (FEM) simulation. It was found that, by increasing both die and outer corner angle, the imposed strain rises and in the counter, through increasing the temperature, the portion of low-angle grain boundaries and recovery kinetics rate increased. Finally, presence of choke angle imposes compressive stress as well as causing an increase in the pressing pressure.

Keywords: Solder alloy, Finite element method, equal channel angular pressing

1. Introduction

The practical and outstanding manufacturing aspects of SPD has been widely taken into consideration due to exceptional physical and mechanical characteristics appear in produced ultrafine grained materials [1]. ECAP especially is a remarkable process among different methods of severe plastic deformation. The major benefit of the process is that the starting material can be pressed possessing relatively homogeneous and large shear strain without any significant variation in the cross- section area of the work-piece [2] and therefore, it is likely to repeat the pressing for more than one pass [3-4]. In other words, after one pass of ECAP, samples take high shear strain without any concomitant size or shape change and leading to the extraordinary grain refinement that [5] the final angularly pressed solids containing 1000 or more grains in one segment [6]. These characteristics make a distinction between this type of deformation with conventional metal-working procedures such as drawing, rolling or extrusion [7]. ECAP has been studied broadly, taking the advantage of many techniques including analytical material flow modeling, slab analysis, upper-bound, Artificial Neural Network (ANN) and FEM [8]. However, FEM is the most conventionally- applied and significant numerical technique that has been fruitfully employed to investigate the deformation progression and process design in SPD processes like ECAP.

Three-dimensional finite element analysis was used to numerically simulate deformation characteristics and damage evolution in ECAP and also to recognize the friction effect on pressing load, material flow pattern, texture and strain in-homogeneity of various alloys[9-12]. In the mentioned researches, the metal deformation was considered as plane strain in the simulation and accordingly 2D models were developed for analyzing ECAP method. In addition, FEM has been widely used to investigate the effects of different factors such as back pressure [13], material properties [14], ultrasonic treatment [15] and die geometry on variation of the deformation rate [7], texture [16] and the plastic

Received by the editors June 3, 2016; Revised July 26, 2016 and Accepted August 8, 2016.

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deformation zone in ECAP [17]. Additionally, strain hardening, billet length and plastic instability during ECAP were also considered using FEM strategies [18].

In recent decades, extensive use of lead- based materials which employed in the electronics industries and lubrication components have been always a serious case for environmental issues. This international concern gives rise to introduction and extensive application of alternative lead-free solder alloys to be employed in mentioned cases. Near-peritectic Sn-5Sb alloy with relative high melting point and good solder-substrate contact and acceptable degree of wetting angle [19] is one of the most advanced solders in microelectronics purposes like connectors in printed circuit boards (PCB) [20].

In the current research, the severe plastic deformation behavior of Sn-5Sb lead-free solder alloy during ECAP was studied using FEM models. In this regard, the effect of the channel geometry, outlet channel shape and pressing temperature was numerically studied and just beforehand, the simulation results were confirmed experimentally.

The current work is focused on the novel approach of workability improvement of the material in terms of wise design of die angles with a slight adaptation in die channel design. For this reason, in different section of manuscript some comparable ECAP process were judged against each other in terms of difference in the die angle, outer corner angle, temperature and design of outlet channel. The influence of a trifling deviation in die design is discussed considering the difference in the sign and magnitude of principle stresses distributed in the upper regions of pressed samples. In other words, the difference between existence of choke angle in outlet channel and conventional exit channel is discussed by taking the main term of damage in ductile materials which is maximum principle stress.

2. Experimental procedure

The Sn-5Sb Solder alloy was melted in a furnace with inert gas isolation and subsequently cast into a cylindrical metallic mold. The homogenization treatment was carried out by heating the samples at 170°C for 18 hours. Two ECAP dies were designed and manufactured from hot working steel (DIN: 1.2344) with die angle and outer arc of 90° and 30°, respectively (Fig. 1). Both dies had circular cross sectional channels with radius of 10 mm. In order to investigate the workability of alloy, one of the dies was designed to have a choked exit channel while another one had a parallel exit channel. The choke angle was designed to be 0.5°. The pressing of material was performed with ram speed of 2mm/s. MoS₂ was used as lubricant to reduce the friction during ECAP process.



Fig. 1. CAD models for (a) conventional and (b) modified ECAP die.

3. Finite Element Simulation

In this work, finite element analysis of ECAP process was carried out considering different involved parameters using DEFORM-3D V6.0. The dies, billet and punch were selected according to the experimental conditions. The diameter and length of the work- piece were 20 mm and 120 mm, respectively. The plastic deformation of material at different temperatures and strain rates was defined using Zener-Hollomon equation. The total number of elements after solid mesh generation was 20,000. The automatic re-meshing utilized to establish and keep appropriate mesh quality. Friction coefficient of 0.12 and 0.25 were used for room temperature and high temperature ECAP simulation, respectively [10, 13]. The boundary conditions applied to the model were as following: (i) Die get fixed by assigning zero degree of freedom to displacement and rotation in all three directions. (ii) The billet was permitted to move freely in Z direction during pressing operation in the input channel of die. (iii) As stopping criteria, the punch was set to move 120 mm in downward direction to press the material completely in the die.

Finite element simulations were done according to following experimental design: various channel angle φ (90, 110, and 135), outer corner angle ψ with two values of (15 and 30) and pressing temperature of 298, 300, 360 and 400 K. All simulations were performed considering the combinations of above mentioned factors using both conventional and modified ECAP dies. Validation of FEM results was done by comparing the calculated ECAP force with experimental results for both dies. After model verification, the values of Von-Mises strain, stress and maximum applied force were recorded from simulation for all runs.

4. Results and Discussion

To validate FEM simulation of ECAP process, the magnitudes of pressing force during ECAP were measured in laboratory for both conventional and modified dies. The values of maximum pressing force predicted by simulation and those obtained from experiments are listed in Table 1 The maximum of 8% disagreement between the experimental and mathematical results confirms the validity of FEM model and makes its applicable and permitted. It is obvious that extrusion pressure is progressively decreased after reaching a maximum at around 20mm stroke. Occurrence of the increasing and then reducing trend are previously correlated to upsetting of the cylindrical sample inside the entry channel and the onset and continuation of plastic deformation.

Max. Force (KN)	FEM simulation	Experimental	Error (%)
Conventional	44.2	48	7.9
Modified	54.3	57	4.7

Table 1. Comparison of simulation and experimental achievements of maximum pressing force $(\phi = 90^{\circ}; \psi = 30^{\circ}; T = 298 \text{ K})$

During ECAP of bulk metallic materials, three general parameters control the microstructural evolution and mechanical behavior of the severely deformed billets: (I) Factors related to the experimental features of ECAP like die angle (ϕ) and outer arc angle (ψ). (II) Processing factors such as pressing speed, working temperature, pass number, back- pressure, pressing route and die design that can be set by operator or designer. (III) Factors with impact on grain refinement of the ECAPed microstructure including the crystallographic texture, stacking fault energy (SFE) and crystal structure.

4.1. Effect of die channel angle and die outer corner angle

The channel angle, φ , is the most considerable experimental factor because it creates the large plastic strain during each ECAP pass and forms a severely deformed microstructure. It should be noted that the most of the researches about the influence of this factor have focused on values between 90° to 150° to date and few attempts have been made to study on different die angles. Figs. 2 and 3 indicate the total plastic strain in the middle steps of pressing of solder alloy at room temperature (298 K) and 400 K (as

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lower and upper temperature of this research) while the outer corner angle is constant and the exit channel is parallel.



Fig. 2. Plastic strain distribution in conventional die with (a) $\varphi = 90^{\circ}$ (b) $\varphi = 110^{\circ}$ (c) $\varphi = 135^{\circ}$ with constant $\psi = 30^{\circ}$ ^o, pressed at T = 298 K.



Fig. 3. Plastic strain distribution in conventional die with (a) $\varphi = 90^{\circ}$ (b) $\varphi = 110^{\circ}$ (c) $\varphi = 135^{\circ}$ with constant $\psi = 30^{\circ}$, pressed at T = 400 K.

According to related investigation [21], it is apparent that smaller ultrafine grains with higher angles of misorientation are obtained when the material is subjected to a larger plastic strain. This is fulfilled when the pressing happen preferably in dies with lower channel angles as the die with angle of 90° induces more plastic strain than die with angle 110° and 135°. In other words, to achieve a specific quantity of strain, more pressing passes should be applied when the ECAP die with higher angle is used. The decrease in equivalent plastic strain by increasing the die angle is also observed in pressing process at 330 K and 360 K just like two illustrated temperatures. It is noted that the ECAP of high ductility Sn-5Sb solder alloy can be done without any problem through pressing in dies with angle of 90° or higher values. However, in case of inflexible and low ductility materials such as tungsten, martensitic steels or composite structures, application of appropriate die angle for maximum workability may be a rational consideration. Worthy of note to see that this trend is also influential in materials deformed in die having 0.5° choked outlet channel at any testing temperature and same outer corner angle. Figs. 4 and 5 illustrate the surface plot of induced plastic strain (recorded as the mean value of histogram plotted for total equivalent strain) versus pressing temperatures and channel angle in both conventional and choked channel dies, respectively. As can be seen, the incremental rise of plastic strain takes place with decreasing the die angle in selected temperature range of this study for both ECAP dies. However, in modified ECAP die with high outer corner angle (ψ), strain obtained after ECAP process gets larger. This ascending tendency in strain field and related involved phenomena will be discussed in details in the following sections. The achieved results for the current study focusing on Sn alloy show that the overall strain is not the significant feature in variation of ECAP microstructure however is quite essential for identification of large strain in each

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individual ECAP pass. It can be claimed that an ECAP die can impose the maximum strain value on the billet when the die angle is 90 °. While, it is almost impossible for comparable methods like extrusion to impose such a high strain even using multiple passes.



Fig. 4. Plastic strain of deformed samples pressed at different temperatures and channel angles in conventional dies with (a) $\psi = 30^{\circ}$ (b) $\psi = 15^{\circ}$.

Figures 6 and 7 demonstrate plastic strain distribution in conventional and modified dies with channel angle of 90° and 135°, respectively. To prove the generality and temperature- independency of ψ effect, strain contour of samples processed by conventional die (Fig. 6) was extracted at 398 K and 400 K and for those pressed in modified die at 330 K and 360 K (Fig. 7). It can be seen, after single pass of ECAP with a constant die angle, an increase in the outer corner angle from 15° to 30° decreased effective plastic strain level. The reason for this concurrence is upon to the existence of the dead zone which is typically appeared at the shear zone when the billet moves in the die near the intersecting zone. The formation of dead zone leads to the cease of contact between die wall and forming material.

A decrease in the strain can be observed with increasing the outer corner angle in both ECAP dies and all pressing temperatures. However, this influence is more noticeable at lower die angles. The stress intensification in the bottom section of the samples ECAPed in the die with $\varphi = 90^{\circ}$ and $\psi = 15^{\circ}$ (Fig. 6.a) supports this postulation. Generally, it can be claimed that with increasing the both die angle and outer corner angle, the induced strain value was decreased as reported by previous researches [22].



Fig. 5. Plastic strain of deformed samples pressed at different temperatures and channel angles in modified dies with (a) $\psi = 30^{\circ}$ (b) $\psi = 15^{\circ}$.



Fig. 6. Contours of strain distribution for samples angularly pressed in conventional die with $\varphi = 90^{\circ}$ and (a) $\psi = 15^{\circ}$ at 298 K (b) $\psi = 30^{\circ}$ at 298 K (c) $\psi = 15^{\circ}$ at 400 K (d) $\psi = 30^{\circ}$ at 400 K.



Fig. 7. Contour of strain distribution for samples angularly pressed in modified die with $\varphi = 135^{\circ}$ and (a) $\psi = 15^{\circ}$ at 330 K (b) $\psi = 30^{\circ}$ at 330 K (c) $\psi = 15^{\circ}$ at 360 K (d) $\psi = 30^{\circ}$ at 360 K.

Furthermore, dies with lower angles establish a considerable raise in strain in-homogeneities in the pressed billets so that makes the material flow difficult in and increases the induced strain value. As a consequence, to have a high imposed strain and more homogenous stress distribution, an ECAP die with the angle of 90 $^{\circ}$ as well as a minimum value of outer angle is required.

4.2. Effect of deformation temperature

The ECAP temperature is the most important parameter among others because of its complex effect on microstructural evolution and variations of stated variables. Figs.8 indicates the strain distribution in the billet pressed at different temperatures in both ECAP dies with $\varphi = 110^{\circ}$ and $\psi = 30^{\circ}$. Because of high stacking fault energy (SFE) of Sn-5Sb solder alloy, it can be concluded that the portion of low-angle grain boundaries is increased with increasing the temperature that consequently yields faster recovery at elevated temperatures [23]. That is to say, as temperature rises, the formation of DRV is facilitated and the critical strain for commencement of subgrain arrangement decreased as well.

It is worth noting that, the twinning plays a critical role in the deformation behavior of the lead-free Sn-based materials which is more prominent at lower deformation temperature.. The conventional twinning plane and direction are (301) and [103]. Another possible twinning mode is in the plane of (101) along the directions which are all active at low temperatures and facilitate formability. Mechanical twinning happens in three steps; nucleation in which an undersized twin emerges due to confined stresses, a distribution step in which the incoherent twin boundaries start to migrate in order to cover the crystal and thickening step, in which the produced coherent twin boundaries migrate perpendicular to the dominant twinning plane (301).



Fig 8. strain distribution of ECAPed samples in the condition of $\varphi = 110^{\circ}$ and $\psi = 30^{\circ}$ in conventional and modified dies at different temperatures: (a) conventional die at 298 K, (b) modified die at 298 K(c) conventional die at 330 K, (d) modified die at 330 K; (e): conventional die at 360 K; (f) modified die at 360 K; (g) conventional die at 400 K; (h) modified die at 400 K.

4.3. Effect of choke angle

Figure 9a-d show FEM contours of maximum principal stress (σ_1) in conventionally ECAPed Sn-5Sb solder alloy pressed at 298 K and 360 K with different die angles and outer corner angles. During material pressing, the existence of positive σ_1 in the billet can be interpreted as emerge of succeptible zone to crack nucleation. The maximum value of σ_1 , colored red in the contour plot, is detected at the top surface in the vicinity of the point that the material tend to leave the shear zone. Since the existence of positive σ_1 may

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be the main cause of crack formation, it may be perceived from FEM simulations that the top band of billet is the most dangerous region at risk of fracture.



Fig. 9. Distribution of maximum principal stress at (a) room temperature (298 K) pressed in die with $\varphi = 90^{\circ}$; $\psi = 15^{\circ}$ and, (b) high temperature (360 K) in die with $\varphi = 135^{\circ}$: $\psi = 15^{\circ}$, (c) room temperature (298 K) ECAP in die with $\varphi = 90^{\circ}$; $\psi = 30^{\circ}$ and (d) high temperature (360 K) in die with $\varphi = 135^{\circ}$: $\psi = 30^{\circ}$.

To examine the impact of outlet channel design on the prospect stress distribution and also material fracture potentiality, the exit channel configurations were considered as parallel and 0.5° choked. It is clear that the distribution of σ_1 , as FEM simulated maximum principle stress, for the samples pressed in die with parallel outlet channels is not alike with the die with choked channel. It should be noted that, in all deformed severely deformed samples in different situations of die angles and pressing temperatures, the following trend was seen: In the die with choked outlet channel, σ_1 is drastically decreased and changes to a compressive mode that yields workability improvement during ECAP. When the evolution of σ_1 for conventional die is considered, it is found that although σ_1 for parallel die is tensile in the top band of pressed samples, σ_1 in die with choked outlet channel is compressive in more extensive regions.

It is experimentally and numerically found that using the ECAP die with choked geometry in exit channel leads to an enhancement of workability compared to the die with conventional parallel exit channel. This improvement in aterial ductility can be assigned to the maximum compressive principal stress, which is caused due to the opposite pressure acting on the deformed samples in opposition to its movement within exit channel. Moreover, in-homogeneity of strain is the most important parameter for designing the exit channel in addition to the intensity of maximum principal stress. It is clear that the effective strain is slightly increased with increasing the choke angle i.e. comparing of conventional die with modified one. However, the increase in the effective strain is not similar to in-homogeneity of strain which is more affected by friction effect. As a consequence, it can be concluded that existence of the

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choke angle in outlet die results in a slow drop of maximum principal stresses, i.e., more compressive stresses. However, it is involved with increasing strain in-homogeneity and extrusion pressure.

It should be highlighted that, this difference was seen in every process performed at different temperatures and any combination of φ and ψ = was presented in Figs. 10. It should be added that, this fact also governs the stress distribution when other affecting parameters such as die angle and deformation temperature varies.



Fig. 10. Comparison of σ_1 in near- end forming step using (a) conventional die with process conditions of $\varphi = 90^{\circ} - \psi = 15^{\circ} - T = 298$ K and (b) modified die with process conditions of $\varphi = 90^{\circ} - \psi = 15^{\circ} - T = 298$ K along with σ_1 in final forming step using (c) conventional die with process conditions of $\varphi = 90^{\circ} - \psi = 15^{\circ} - T = 298$ K and (d) modified die with process conditions of $\varphi = 90^{\circ} - \psi = 15^{\circ} - T = 298$ K and (d) modified die with process conditions of $\varphi = 90^{\circ} - \psi = 15^{\circ} - T = 298$ K and (d) modified die with process conditions of $\varphi = 90^{\circ} - \psi = 15^{\circ} - T = 298$ K and (d) modified die with process conditions of $\varphi = 90^{\circ} - \psi = 15^{\circ} - T = 298$ K and (d) modified die with process conditions of $\varphi = 90^{\circ} - \psi = 15^{\circ} - T = 298$ K

5. Conclusion

Finite element analysis was utilized to simulate the ECAP process of Sn-5Sb lead-free solder alloy. To validate the FEM method, simulation products were judged against with the experimental quantity. The following points can be highlighted from the present investigation:

1. Maximum required extrusion forces during ECAP were numerically determined and at that time evaluated with the experimental verification. The achieved simulated FEM results are in acceptable agreement with the experimental results.

2. An increase in die angle or outer corner angle caused a decrease in the plastic strain level. On the counter side, a lower amount of strain and force has been obtained with an increase in working temperature during ECAP.

3. It was perceived from FEM results that the most critical section potent to crack formation is the billet top surface that is due to high tensile mode of maximum principal stresses on the zone as well as concentration of large plastic strain.

4. Performing ECAP process by a die with choked outlet channel led to a reduction in the maximum principal stresses and further stress state revolution to compressive mode. This event improves material workability during severe plastic deformation process.

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بررسی کارپذیری آلیاژ لحیم بدون سرب قلع – آنتیموان با ترکیب نزدیک به پریتکتیک تولید شده با روش تغییر شکل پلاستیک شدید

چکیده: در این تحقیق، رفتار تغییرشکل پلاستیک شدید آلیاژ قلع – آنتیموان با تکیه بر تاثیر دمای کاری بین 298 تا 400 کلوین و طراحی قالب مورد بررسی قرار گرفت. به این منظور از روش حل عددی المان محدود که با دادههای آزمایشگاهی اعتبارسنجی شده بود، بهره برده شد تا کارپذیری آلیاژ حین اعمال کرنشهای بزرگ تحت بررسی قرار گیرد. مطالعه پارامتری المان محدود با تکیه بر تاثیر عواملی همانند زاویه قالب، زاویه کنج خارجی قالب، دمای تغییرشکل و هندسه کانال خروجی انجام پذیرفت. نتایج بیانگر این مساله هستند که با افزایش هر دو زاویه قالب و کنج خارجی میزان کرنش پلاستیک اعمالی افزایش مییابد و در مقابل افزایش دما بدلیل فعال نمودن مکانیزمهای ترمیم و تشکیل مرزدانههای فرعی کرنش نهایی را کاهش میده. همچنین در قالب با

کلمات کلیدی: آلیاژ لحیم، المان محدود، پرسکاری در کانال هم مقطع زاویهدار