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Microstructure and its Relationship to Mechanical Properties in Equal Channel Angular Rolled Al6061 Alloy Sheets

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ECAR X-ray diffraction Rietveld method Dislocation density Al6061 Equal channel angular rolling (ECAR) is a severe plastic deformation (SPD) technique which has been used to produce metal sheets with ultra-fine grain structure. In the present work, the relationships between the mechanical properties and microstructure of samples during the ECAR process have been investigated. The Rietveld method was applied to analyze the X-ray diffraction pattern and to determine the microstructural characteristics including the crystallite size, microstrain, and dislocation density. It was observed that the average crystallite size and dislocation density increased by increasing the strain during the ECAR process. The results showed that ECAR is a procedure intended to obtain meaningful structural refinement appearing in a crystallite. It can be justified by using Taylor equation that the mechanical properties are related to the dislocation density. The ECAR process strongly increases the yield strength and microhardness due to an increase in the dislocation density over a wide range of strain.

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1. Introduction

In recent decades, the severe plastic deformation (SPD) process, as a new method to obtain ultrafine grained (UFG) metals, has attracted increasing attention due to its sufficient mechanical properties [1-3]. Among SPD processes, the equal channel angular rolling (ECAR) process has many certain advantages due to its continuity and applicability on industrial sheets [4-6].

The ECAR process is a SPD method that leads to the UFG structure and improved mechanical properties of the material. This process is presented for the continuous forming of sheets and strips, and like ECAP can apply large amounts of strain to the material with no change in the cross-section of the piece. Lee et al [7] were the first to introduce the ECAR process based on equal channel angular pressing (ECAP). Chung et al [8, 9] investigated the control of the thickness uniformity of Al 6063 alloy in the ECAR process. Cheng et al [10, 11] studied the drawability of ECARed AZ31 magnesium alloy sheet and its improvement at room temperature. The effect of the ECAR process on the strength and electrical conductivity of pure copper was illustrated by Habibi et al [12].

The principle of the ECAR process is displayed schematically in Fig. 1 As can be seen in Fig. 1, a large plastic strain is applied on a sheet in the shear zone without changing the cross-section through the multi-pass operation to achieve a UFG metal. Where the strips were fed through the feeding roll and guide roll with and without 180° rotation in the feed direction between subsequent passes.

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Fig. 1. The principle of the ECAR process.

Although there are several studies concerning the effect of the ECAR process on the microstructural characteristics and mechanical properties of metals [13-15], the details of the relation between these two features, especially the role of the dislocation density and its effect on the mechanical properties in the ECAR process, have not been specified yet. This relation has been determined in other deformation processes like the ECAP [16-18].

The present paper provides original experimental data focusing on the study of the microstructure and mechanical properties of Al6061 alloy treated by the ECAR process. The Rietveld method and Taylor equation based on the x-ray diffraction (XRD) pattern are used to compute the microstructure parameter and yield strength, respectively. Moreover, the relation between microstructure parameters and mechanical properties has been evaluated.

2. Principle and Equipment of Experimental Setup

In this work, a 2 mm thick sheet of Al6061 alloy was used (Table 1). Firstly, the strips of $400 \times 40 \times 2 \text{ mm}^3$ (length × width × thickness) were prepared. The samples were solution heat treated at 530°C for 4 h and quenched at room temperature. The ECAR was conducted at room temperature (~30°C) at a channel oblique angle of 120° in two different routes: A and C. In route A, the specimens were passed without any rotation, while in route C, they were passed into two consecutive passes with 180° rotation.

Table1. The chemical composition of Al6061 alloy

Element	Al	Mg	Si	Cu	Fe	Mn
Wt.%	Bal.	1.14	0.68	0.25	0.4	0.1

The samples were processed by ECAR after the 1st, 3rd, and 5th pass to investigate their microstructure and mechanical properties. Using (1) the effective strains imposed to the material have been shown in Table 2.

$$\varepsilon_{\rm eff} = (2N/\sqrt{3})K^2 \cot(\varphi/2) \tag{1}$$

In Eq. 1, N is the number of passes, φ the oblique angle and K = 0.975 is the thickness ratio. This formula is obtained from the modified Segal model for calculation of shear deformation [19].

Table 2. The effective strain of ECARed specimens in different passes and the channel oblique angle of 120°

Effective strain						
1 pass		3 passes	5passes			
<i>φ</i> =120	0.65	1.95	3.27			

After the ECAR processing, the samples were sliced perpendicularly to the longitudinal axis and the surfaces were prepared before the XRD and EBSD tests. In order to observe the microstructure, the samples were electroetched in Barker's reagent at a voltage of 20 V and current of 0.2 mA. The distribution of the grain diameters and the grain boundary disorientations were determined using the EBSD technique. The electron backscatter diffraction (EBSD) was performed using the Hitachi SU-70 equipment. The EBSD scans were in areas of 400µm×400µm with a 1.5 µm step size. XRD measurements were conducted for the radiation angle, in the range of 20 to 90 degrees, and a scan rate of 0.02 °/sec by Buker D8 diffractometer using CuKa radiation (wavelength = 1.54056Å). Uniaxial static tensile tests according to ASTM-E8 were carried out at room temperature and a strain rate of $2x10^{-3}s^{-1}$ by SANTAM STM400 testing machine. The microhardness was measured by Vickers microhardness tester with the pyramidal diamond indenter subjected to a 100g load.

The XRD pattern was analyzed via the Rietveld method to compute the microstructural parameters of the samples subjected to the ECAR process. In this regard, the MAUD software has been applied to determine the micro-strain, crystallite size, and dislocation density. More information about the method of analyzing in MAUD is found in another paper [20-23]. Furthermore, the MAUD software based on Eq.2 computes the value of the dislocation density (ρ) from average crystallite size (*D*) and microstrain ($\langle \varepsilon^2 \rangle^{1/2}$).

$$\rho = 3\sqrt{2\pi} \langle \varepsilon^2 \rangle^{1/2} / Db \tag{2}$$

Where *b* is the Burgers vector for the face-centered cubic (FCC) structures and it is obtained by the equation $b = \frac{\sqrt{2}a}{2} (a \text{ is the lattice parameter}) \text{ and } K=6\pi.$

3. Results and Discussion

Figure 2 shows the optical micrographs of the initial and the three-pass ECARed samples. In the figure, the effect of the ECAR process on the grain refinement of Al6061 alloy is shown. The smaller grain size and uniform microstructure of the ECARed sheets compared to the initial sample can be seen. An elongated structure mainly oriented in the ECAR rolling direction was found just after the 3rd pass (Fig. 2b). It is in good consistency with the EBSD graph (Fig. 2c). This figure shows the statistical variations of the grain size and crystallographic orientations obtained from the EBSD analysis after consecutive passes, respectively. The average grain size is about 25 µm with a disorientation angle greater than 15°. At the beginning of the ECAR process, dislocations start to arrange into the sub-grains which are likely to transform to ultra-fined grains with higher high-angle grain boundaries in next passes [24-27].



Fig. 2. Optical micrographs of the a) Initial sample, b) Three-pass and c) Three-pass EBSD graph of the ECARed sample in route A.

Figure 3 shows the results of the X-ray diffraction for samples passed through 120° channel passes at routes A and C. These peaks were obtained on the slipping planes of (111), (200), (220) and (311). As shown in Fig. 3, by increasing the number of passes, crystal orientation was applied as the maximum peak on the base plane of (111), and the maximum peak values decreased on planes (200), (220), and (311). It can be seen that the as-

received specimen strongly presents the crystal orientation of (200) basal plane with a high peak value of (200) plane in the section perpendicular to the normal direction. On the other hand, the distribution of the diffract peak for the ECARed specimens is similar except for the intensity values.

Thus applying the ECAR on Al6061 alloy sample changed its crystal orientation. This change increases by increasing the number of the passes through the channels.





Fig. 3. X-ray diffraction patterns for different ECARed conditions.

Figure 4 shows the results of the Rietveld analysis of the XRD pattern by using the MAUD software for the variation of the average crystallite size, microstrain and dislocation density versus the number of the ECAR processes. Considering the results of Fig. 4, the microstructure characteristics of ECARed Al6061 alloy samples change significantly during the ECAR. At the first rolling passes, the dislocation density increases, then dislocations form minor boundaries. Subsequently, these minor boundaries convert to high-angle boundaries. According to the results of the Rietveld analysis, increasing the number of the ECAR passes decreases the crystallite size and increases the values of the micro-strain and dislocation density irrespective of the processing route. Moreover, the changes are more severe on the first pass compared to other passes. However, between the passes of the ECAR via route C, the grain size reduced further in comparison with route A. The micro-strain increased further via route C. This means that route C was more effective than route A in the propagation of the dislocations, forming minor boundaries, converting these boundaries to boundaries with higher angles at a greater number of passes and the HAGBs fractions.



Fig. 4. The values of the microstructure parameters obtained by analyzing the XRD pattern versus the number of the ECAR processes, a) average crystallite size,b) microstrain and c) dislocation density.

Previous studies have shown that for FCC metals and alloys processed by SPD, the yield strength and dislocation density have similar trends as a function of the strain [28,29]. The relationship between the yield strength (σ) and dislocation density (ρ) has been presented by Taylor equation (Eq. 3).

$$\sigma = \sigma_0 + M\alpha G b \sqrt{\rho} \tag{3}$$

Where σ_0 , M, G and α are the friction stress (for Al6061, σ_0 is 20 MPa), the Taylor factor (for untextured polycrystalline materials M is 3), the shear modulus (for Al alloy G is 26400 MPa), and a constant value ($\alpha = 0.24$), respectively.

In this paper, the outputs of the Taylor equation and mechanical tests have been compared to investigate the main strengthening mechanism in ECARed Al6061 alloy. The results of the yield strength obtained by experiment and the Taylor equation have been shown in Fig. 5 as can be seen, the yield strength obtained by the tensile tests and Taylor equation are in good consistency with each other. It shows that the interaction between the dislocations is the main strengthening mechanism. This is in good agreement with other researches on FCC metals processed by SPD [28-30]. Moreover, the results show that route C creates greater yield strength in the samples compared with route A.



Fig. 5. The yield strength obtained via experiment and Taylor equation

In order to indicate the relationship between mechanical properties and microstructural characteristics, the variation of yield strength, elongation and hardness in comparison with dislocation density at different ECAR conditions have been shown in Fig. 6 as can be observed, the yield strength and micro-hardness of the samples increase by increasing the dislocation density, while the elongation decreases. There are lower rates for higher passes in both microstructure characteristic and mechanical properties.



Fig. 6. The variation of mechanical properties, a) Yield strength, b) Elongation, c) Hardness, and dislocation density in terms of the number of passes in Al6061 alloy.

The significant strengthening was due to the rapid increase of the dislocation density followed by dislocation rearrangement into subgrains and strain hardening, which contribute to the strengthening [31]. In general, the energy stored in the ECARed samples as a result of applying the equivalent shear strain leads to an increase in the dislocation density and reduces the crystallite size. However, the increasing rate of the dislocation density reduced due to the recovery and dislocation interaction. These changes have increased the yield strength of the specimens and reduced their elongation. However, some researchers believe that the high strength and elongation reduction in the ECARed specimen can be related to the presence of a high dislocation density as well as a large number of twins [10]. Kim et al [32] showed that the contribution of some precipitation to the strengthening during the ECAP process, however, seems to be small compared with that of substructure refinement to the strengthening.

4. Conclusions

Based on the research performed on the correlation between the microstructure and mechanical properties of Al6061 alloy sheets processed by equal channel angular rolling, the following results were obtained:

• The results of the X-ray diffraction showed that applying the ECAR process changes the crystal orientation of the samples.

• The Rietveld analysis of the X-ray diffraction pattern showed that applying the ECAR process caused the microstrain and dislocation density to increase, while the crystallite size decreased.

• The good consistency between the yield strength obtained by the tensile test and Taylor equation showed that the interaction between the dislocations is the main strengthening mechanism in the ECAR process.

• By analyzing the correlation between the dislocation density as a microstructure characteristic and the mechanical properties, it was found that by increasing the dislocation density during the ECAR process, the strength and hardness increased and the elongation decrease.

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میکروساختار و ارتباط آن با خواص مکانیکی در ورق آلیاژ آلومینیوم ۶۰۶۱ حاصل از فرآیند نورد در کانالهای همسان زاویهدار

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چکیــدہ

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نورد در کانال همسان زاویهدار (ECAR) یک فرآیند تغییر فرم پلاستیک شدید است که جهت تولید ورق فلزی با ساختار فوق ریزدانه به کار گرفته شده است. در کار ارائه شده ارتباط بین خواص مکانیکی و میکروساختاری نمونهها در طی فرآیند ECAR مورد بررسی قرار گرفته است. روش ریتولد جهت تحلیل الگوی پراش اشعه ایکس مورد استفاده قرار گرفته است تا خواص میکروساختاری شامل اندازه کریستالیت، میکرو کرنش و چگالی نابجاییها تعیین گردد. مشاهده شد که متوسط اندازه کریستالیت و چگالی نابجایی با افزایش کرنش در طی فرآیند، افزایش یافته است. نتایج نشان دادند که فرآیند ECAR راهکاری است که جهت دستیابی به یک اصلاح ساختاری در یک کریستالیت به کار گرفته شده است. با به کارگیری رابطه تیلور میتوان نشان داد که خواص مکانیکی با چگالی نابجایی در ارتباطند. فرآیند ECAR به دلیل افزایش چگالی نابجاییها در

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